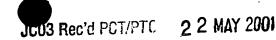
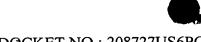
M Hec a PCT/PTO 22 MAY 2001

YEY'S DOCKET NUMBER ORM PTO-1390 (Modified) 208727US6PCT TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/JP00/06679 **28 SEPTEMBER 2000** 29 SEPTEMBER 1999 TITLE OF INVENTION DISC RECORDING AND/OR REPRODUCING APPARATUS APPLICANT(S) FOR DO/EO/US WATANABE Tomohiro et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 囟 3. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 4. A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) 5. is transmitted herewith (required only if not transmitted by the International Bureau). П b. 🖾 has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). A translation of the International Application into English (35 U.S.C. 371(c)(2)).  $\boxtimes$ A copy of the International Search Report (PCT/ISA/210). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. П have not been made and will not be made. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9.  $\boxtimes$ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10.  $\boxtimes$ A copy of the International Preliminary Examination Report (PCT/IPEA/409). 11 П A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 12 (35 U.S.C. 371 (c)(5)). Items 13 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 13. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14. A FIRST preliminary amendment. 15. A SECOND or SUBSEQUENT preliminary amendment. 16.  $\Box$ A substitute specification. 17. A change of power of attorney and/or address letter. 18. Certificate of Mailing by Express Mail 19.  $\boxtimes$ Other items or information: 20. Request for Consideration of Documents Cited in International Search Report Notice of Priority PCT/IB/304 PCT/IB/308 Drawings (35 sheets) Amended Sheet (Page 46)

U.S., APPLICATION NO. (IF KNOT	WN SIN CER 3 1 8 6 4	CFR INTERNATIONAL APPLICATION NO. PCT/JP00/06679				ATTORNEY'S DOCKET NUMBER 208727US6PCT		
21. The following fees are submitted:.							S PTO USE ONLY	
BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)):						CHECOLATION	S THE COS CIVET	
☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO								
<ul> <li>✓ International preliminary examination fee (37 CFR 1.482) not paid to</li> <li>USPTO but Internation Search Report prepared by the EPO or JPO</li></ul>								
☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO								
☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)								
☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)							-	
ENTER APPROPRIATE BASIC FEE AMOUNT =						\$860.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)).						\$0.00		
CLAIMS NUMBER FILED		NUMBER EXT						
Total claims	4 - 20 =	0		x \$18.0	<del></del>	\$0.00		
Independent claims 1 - 3 = 0				x \$80.00		\$0.00		
Multiple Dependent Claims (check if applicable).					_	\$0.00 \$860.00		
TOTAL OF ABOVE CALCULATIONS						\$800.00		
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).						\$0.00		
SUBTOTAL =						\$860.00		
Processing fee of \$130.00 for furnishing the English translation later than						\$0.00		
TOTAL NATIONAL FEE =						\$860.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).					\$0.00			
TOTAL FEES ENCLOSED =						\$860.00		
						Amount to be: refunded	\$	
						charged	\$	
A check in the amount of \$860.00 to cover the above fees is enclosed.								
Please charge my Deposit Account No. in the amount of to cover the above A duplicate copy of this sheet is enclosed.							ve fees.	
The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 15-0030 A duplicate copy of this sheet is enclosed.								
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.								
SEND ALL CORRESPONDENCE TO:								
SIGNATURE								
					regory J. Maier			
				NAME				
22850				25,599				
				REGISTRATION NUMBER				
Surinder Sachar				May 22 2001				
Registration No. 34,423				DATE	DATE			





DOCKET NO.: 208727US6PCT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: WATANABE Tomohiro et al.

SERIAL NO.: NEW U.S. PCT APPLICATION

FILED: HEREWITH

INTERNATIONAL APPLICATION NO.: PCT/JP00/06679 INTERNATIONAL FILING DATE: September 28, 2000

FOR: DISC RECORDING AND/OR REPRODUCING APPARATUS

## REQUEST FOR CONSIDERATION OF DOCUMENTS CITED IN INTERNATIONAL SEARCH REPORT

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

In the matter of the above-identified application for patent, notice is hereby given that applicant(s) request that the Examiner consider the documents cited in the International Search Report according to MPEP §609 and so indicate by a statement in the first Office Action that the information has been considered. When the Form PCT/DO/EO/903 indicates both the search report and copies of the documents are present in the national stage file, there is no requirement for the applicant(s) to submit them (1156 O.G. 91 November 23, 1993).

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Gregory J. Maier Attorney of Record

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Surinder Sachar

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